

Okeene Historic Preservation Group

Conflict of Interest Policy

Adopted March 14, 2022

I. APPLICATION OF POLICY

This policy applies to board members, staff and certain volunteers of the Okeene Historical Preservation Group. A volunteer is covered under this policy if that person has been granted significant independent decision making authority with respect to financial or other resources of the organization. Persons covered under this policy are hereinafter referred to as “interested parties.”

II. CONFLICT OF INTEREST

A conflict of interest may exist when the interests or concerns of an interested party may be seen as competing with the interests or concerns of OHPG. There are a variety of situations that raise conflict of interest concerns including, but not limited to, the following.

Financial Interests – A conflict may exist where an interested party, or a relative or business associate of an interested party, directly or indirectly benefits or profits as a result of a decision made or transaction entered into by OHPG.

Examples include situations where:

- OHPG contracts to purchase or lease goods, services, or properties from an interested party, or a relative, or business associate of an interested party;
- OHPG purchases an ownership interest in or invest in a business entity owned by an interested party, or by a relative or business associate of an interested party;
- OHPG offers employment to an interested party, or a relative, or business associate of an interested party, other than a person who is already employed by OHPG;
- An interested party, or a relative or business associate of an interested party, is provided with a gift, gratuity or favor, of a substantial nature, from a person or entity that does business, or seeks to do business, with OHPG;
- An interested party, or a relative or business associate of an interested party, is gratuitously provided use of the facilities, property, or services of OHPG.
- Other Interests - A conflict may also exist where an interested party, or a relative or business associate of an interested party, obtains a non-financial benefit or advantage that he or she would not have obtained absent his or her relationship with OHPG, or where his/her duty or responsibility owed to OHPG conflicts with a duty or responsibility owed to some other organization. Examples include where:
 - An interested party seeks to obtain preferential treatment by OHPG for himself or herself, for a relative, or for a business associate;
 - An interested party seeks to make use of confidential information obtained from OHPG for his or her own benefit, or for the benefit of a relative, business associate, or other organization;
 - An interested party seeks to take advantage of an opportunity, or enable a relative, business associate or other organization to take advantage of an opportunity, which he or she has reason to believe would be of interest to OHPG.

III. DISCLOSURE OF ACTUAL OR POTENTIAL CONFLICTS OR INTEREST

An interested party is under a continuing obligation to disclose any actual or potential conflict of interest as soon as it is known, or reasonably should be known.

An interested party shall complete a questionnaire, in the form attached hereto as Appendix A, to fully and completely disclose the material facts about any actual or potential conflicts of interest. The disclosure statement shall be completed upon his or her association with OHPG and shall be updated annually thereafter. An additional disclosure statement shall be filed at such time as an actual or potential conflict arises.

For board members, the disclosure statements shall be provided to the President of the Board, or in the case of the President’s disclosure statement shall be provided to the Secretary of the Board. Copies shall also be provided to the Board President of OHPG.

In the case of staff or volunteers with significant decision-making authority, the disclosure statements shall be provided to the Board President of OHPG, or in the case of the Board President’s disclosure, the statement shall be provided to the President of the Board.

The Secretary of the board of directors shall file copies of all disclosure statements with the official corporate records of OHPG.

IV. GENERAL PROCEDURES FOR THE REVIEW OF ACTUAL OR POTENTIAL CONFLICTS

Whenever there is reason to believe that an actual or potential conflict of interest exists between OHPG and an interested party, the Board of Directors shall determine the appropriate organizational response. This shall include, but not necessarily be limited to, invoking the procedures described in Section V, below, with respect to a specific proposed action or transaction.

Where the actual or potential conflict involves an employee of OHPG other than the Executive Director, the Executive Director shall, in the first instance, be responsible for reviewing the matter and may take appropriate action as necessary to protect the interests of OHPG. The Executive Director shall report to the president (Chairman) the results of any review and the action taken. The President (Chairman), in consultation with the Executive Committee, shall determine if any further board review or action is required.

V. PROCEDURES FOR ADDRESSING CONFLICTS OF INTEREST – SPECIFIC TRANSACTIONS

Where an actual or potential conflict exists between the interests of OHPG and an interested party with respect to a specific proposed action or transaction, OHPG shall refrain from the proposed action or transaction until such time as the proposed action or transaction has been approved by the disinterested members of the board of directions of the organization. The following procedures shall apply:

An interested party who has an actual or potential conflict of interest with respect to a proposed action or transaction of the corporation shall not participate in any way in, or be present during, the deliberations and decision making of OHPG with respect to such action or transaction. The interested party may, upon request, be available to answer questions or provide material factual information about the proposed action or transaction.

The disinterested members of the board of directors may approve the proposed action or transaction upon finding that it is in the best interests of the corporation. The board shall consider whether the terms of the proposed transaction are fair and reasonable to OHPG and whether it would be possible, with reasonable effort, to find a more advantageous arrangement with a party or entity that is not an interested party.

Approval by the disinterested members of the board of directors shall be by vote of a majority of directors in attendance at a meeting at which a quorum is present. An interested party shall neither be counted for purposes of determining whether a quorum is present nor for purposes of determining what constitutes a majority vote of directors in attendance.

The minutes of the meeting shall reflect that the conflict disclosure was made, the vote taken and, where applicable, the abstention from voting and participation by the interested party.

VI. VIOLATIONS OF CONFLICT OF INTEREST POLICY

If the board of directors has reason to believe that an interested party has failed to disclose an actual or potential conflict of interest, it shall inform the person of the basis for such belief and afford the person an opportunity to explain the alleged failure to disclose.

If, after hearing the response of the interested party and making such further investigation as may be warranted in the circumstances, the board determines that the interested party has in fact failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

CONFLICT OF INTEREST POLICY ANNUAL AFFIRMATION OF COMPLIANCE AND DISCLOSURE STATEMENT

I have received and carefully read the Conflict of Interest Policy for board members, staff and volunteers of the OHPG and have considered not only the literal expression of the policy, but also its intent. By signing this affirmation of compliance, I hereby affirm that I understand that OHPG is a charitable organization and that in order to maintain its federal tax exemption it must engage primarily in activities, which accomplish one or more of its tax-exempt purposes.

Except as otherwise indicated in the Disclosure Statement and attachments, if any, below, I hereby state that I do not, to the best of my knowledge, have any conflict of interest that may be seen as competing with the interests of CHSS, nor does any relative or business associate have such an actual or potential conflict of interest.

If any situation should arise in the future which I think may involve me in a conflict of interest, I will promptly and fully disclose the circumstances to the President (Chairman) of the Board of Directors of OHPG or to the Chief Executive Officer, as applicable.

I further certify that the information set forth in the Disclosure Statement and attachments, if any, is true and correct to the best of my knowledge, information, and belief.

Please complete the questionnaire, below, indicating any actual or potential conflicts of interest. If you answer "yes" to any of the questions, please provide a written description of the details of the specific action or transaction in the space allowed. Attach additional sheets as needed.

Financial Interests – A conflict may exist where an interested party, or a relative or business associate of an interested party, directly or indirectly benefits or profits as a result of a decision made or transaction entered into by OHPG.

Please indicate whether, during the past twelve (12) months. Circle Yes or No.

Has OHPG contracted to purchase or lease goods, services, or property from you, or from any of your relatives or business associates?

YES NO

If yes, please describe:

Has OHPG purchased an ownership interest in or invested in a business entity owned by you, or owned by any of your relatives or business associates?

YES NO

If yes, please describe:

Has OHPG offered employment to you, or to any of your relatives or business associates, other than a person who was already employed by OHPG?

YES NO

If yes, please describe:

Have you, or have any of your relatives or business associates, been provided with a gift, gratuity or favor, of a substantial nature, from a person or entity which does business, or seeks to do business, with OHPG?

YES NO

If yes, please describe:

Have you, or any of your relatives or business associates, been gratuitously provided use of the facilities, property, or services of OHPG?

YES NO

If yes, please describe:

Other Interests – A conflict may also exist where an interested party, or a relative or business associate of an interested party, obtains a non-financial benefit or advantage that he/she would not have obtained absent his/her relationship with OHPG, or where his/her duty or responsibility owed to OHPG conflicts with a duty or responsibility owed to some other organization.

YES NO

If yes, please describe:

Did you obtain preferential treatment by OHPG for yourself, or for any of your relatives or business associates?

YES NO

If yes, please describe:

Did you make use of confidential information obtained from OHPG for your own benefit, or for the benefit of a relative, business associate, or other organization?

YES NO

If yes, please describe:

Did you take advantage of an opportunity, or enable a relative, business associate or other organization to take advantage of an opportunity, which you had reason to believe would be of interest to OHPG?

YES NO

If yes, please describe:

Please list any other situation that you deem is or could be perceived as a conflict of interest.

Name (Please print)

Signature

Date